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NOTICE OF ALLOWANCE AND FEE(S) DUE

4372

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08/06/2010

ARENT FOX LLP 1050 CONNECTICUT AVENUE, N.W. SUITE 400 WASHINGTON, DC 20036 EXAMINER

WINDER, PATRICE L

ART UNIT

PAPER NUMBER

2445

DATE MAILED: 08/06/2010

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/427.938	10/27/1999	JOHN S. HENDRICKS	026880.00004	3963

TITLE OF INVENTION: VIRTUAL ON-DEMAND ELECTRONIC BOOK

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$0	\$0	\$1510	11/08/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1,313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and I/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where ar in m

appropriate. All further	correspondence including ed below or directed oth	ng the Patent, advance or	rders and notification of n a) specifying a new corres	naintenance fees wi	ill be mailed t	to the current c	orrespondence address as
	DENCE ADDRESS (Note: Use Bi	ock 1 for any change of address)	Fee(s) Transmittal, This	certificate car	nnot be used for	domestic mailings of the r any other accompanying t or formal drawing, must
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ARENT FOX		AT 1117	1 her State	eby certify that this	s Fee(s) Trans	mittal is being	deposited with the United
SUITE 400	TICUT AVENUE, 1	N. W .	addressed to the Mail Stop ISSUE FEE address above, or being fac transmitted to the USPTO (571) 273-2885, on the date indicated below.				bove, or being facsimile indicated below.
WASHINGTON	N, DC 20036				_ (,		(Depositor's name)
							(Signature)
							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	ĺ	ATTORNEY D	OCKET NO.	CONFIRMATION NO.
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nonprovisional	NO	\$1510	\$0	\$0		\$1510	11/08/2010
EXAM	IINER	ART UNIT	CLASS-SUBCLASS				
WINDER, I	PATRICE L	2445	709-214000				
1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorneys or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.				
PLEASE NOTE: Un	less an assignee is ident th in 37 CFR 3.11. Comp	ified below, no assignee	HEPATENT (print or typedata will appear on the part a substitute for filing an a (B) RESIDENCE: (CITY)	ntent. If an assigne assignment.		below, the doo	cument has been filed for
Please check the appropri	riate assignee category or	categories (will not be pr	rinted on the patent):	Individual 🖵 Co	rporation or ot	her private grou	p entity Government
4a. The following fee(s)	are submitted:	41	o. Payment of Fee(s): (Plea	se first reapply an	y previously p	aid issue fee sl	nown above)
☐ Issue Fee	No small entity discount p	permitted)	☐ A check is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached.				
	# of Copies		☐ The Director is hereby	authorized to chars	e the required	fee(s), any defi	ciency, or credit any
5. Change in Entity Sta	true (from status in disate	d alcana)	overpayment, to Depo	sit Account Number	r	(enclose an	extra copy of this form).
_ ~ .	nus (from status indicated is SMALL ENTITY stati	· · · · · · · · · · · · · · · · · · ·	☐ b. Applicant is no long	ger claiming SMAL	L ENTITY sta	itus. See 37 CFI	R 1.27(g)(2).
NOTE: The Issue Fee an	d Publication Fee (if req		d from anyone other than the				
Authorized Signature				Date			
Typed or printed name							
This collection of inform an application. Confiden submitting the complete this form and/or suggest Box 1450, Alexandria, V Alexandria, Virginia 223	tiality is governed by 35 dapplication form to the ions for reducing this but /irginia 22313-1450. DO	FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the ONOT SEND FEES OR	on is required to obtain or r 1.14. This collection is est depending upon the indiv e Chief Information Office COMPLETED FORMS TO	etain a benefit by th imated to take 12 m idual case. Any cor r, U.S. Patent and 7 D THIS ADDRESS.	ne public which ninutes to com- mments on the Frademark Off SEND TO: C	n is to file (and plete, including amount of timice, U.S. Departommissioner for	by the USPTO to process) gathering, preparing, and e you require to complete trment of Commerce, P.O. or Patents, P.O. Box 1450,

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ARENT FOX LI	LP .		WINDER, PATRICE L	
	CUT AVENUE, N.W.	ART UNIT	PAPER NUMBER	
SUITE 400 WASHINGTON,	DC 20036		2445 DATE MAILED: 08/06/201	0

Determination of Patent Term Extension under 35 U.S.C. 154 (b)

(application filed after June 7, 1995 but prior to May 29, 2000)

The Patent Term Extension is 0 day(s). Any patent to issue from the above-identified application will include an indication of the 0 day extension on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	A IN CL. NO.	1 a 11 (/)				
	Application No.	Applicant(s)				
Nation of Allowability	09/427,938	HENDRICKS ET AL.				
Notice of Allowability	Examiner	Art Unit				
	PATRICE WINDER	2445				
The MAILING DATE of this communication appearable All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this ap or other appropriate communication IGHTS. This application is subject to	plication. If not included n will be mailed in due course. THIS				
1. This communication is responsive to <u>June 1, 2010</u> .						
2. The allowed claim(s) is/are <u>3-17</u> .						
 3. Acknowledgment is made of a claim for foreign priority unall All b) Some* c) None of the: 1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 	e been received.					
Copies of the certified copies of the priority do	cuments have been received in this	national stage application from the				
International Bureau (PCT Rule 17.2(a)).						
* Certified copies not received:						
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements				
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give						
5. CORRECTED DRAWINGS (as "replacement sheets") must	st be submitted.					
(a) ☐ including changes required by the Notice of Draftspers	son's Patent Drawing Review (PTO-	948) attached				
1) hereto or 2) to Paper No./Mail Date						
(b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date						
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t						
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT						
Attachment(s)	5 Notice of Informal F	Octopt Application				
 Notice of References Cited (PTO-892) Notice of Draftperson's Patent Drawing Review (PTO-948) 	 5. ☐ Notice of Informal F 6. ☐ Interview Summary 					
	Paper No./Mail Da	te				
 Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date <u>5-13-2010</u>; <u>7-12-2010</u> 	7. 🛛 Examiner's Amendr	ment/Comment				
4. Examiner's Comment Regarding Requirement for Deposit	8. 🔲 Examiner's Stateme	ent of Reasons for Allowance				
of Biological Material	9. Other					
/Patrice Winder/ Primary Examiner, Art Unit 2445						
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Application/Control Number: 09/427,938 Page 2

Art Unit: 2445

EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

The application has been amended as follows:

Please replace the abstract filed in the transmittal letter on October 27,1999 with the following exact copy of submitted on the next page.

Application/Control Number: 09/427,938 Page 3

Art Unit: 2445

ABSTRACT

An electronic book selection and delivery system distributes text to subscribers. The system provides for on-demand and virtual on-demand delivery of electronic books. Specified electronic books may be broadcast to a set of subscribers. Alternatively, a first section of the specified electronic books may be broadcast. A second section of each of the electronic books is then available for later delivery, upon order by a subscriber. The second sections, as well as first sections, and true on-demand electronic books may be loaded into one or more queues. The queues may then be emptied based on a priority model. Electronic books in an on-demand queue may be given priority for delivery over electronic books in other queues.

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Art Unit: 2445

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Patrice L. Winder whose telephone number is (571)272-3935. The examiner can normally be reached on Monday-Friday, 12:00 pm - 8:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Vivek Srivastava can be reached on 571-272-7304. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Patrice L Winder/ Primary Examiner, Art Unit 2445

July 30, 2010